

# IMPACT EVALUATION OF THE THIRD FRAMEWORK AGREEMENT (FA3-III) BETWEEN DGD AND ITM

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## Overview of the presentation of findings

- Introduction
- Switching the poles
- Country programmes / IC projects
- Global programme / Networks
- Gender
- Recommendations



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- FA3-III (2014-2016)
- Programme vs. project evaluation
- Three case studies (Benin, India, Peru)
- Need to interpret findings in longer timeframe
- Covid-19 delayed and limited evaluation approach



 Achieving equal level playing field with south institutions was successful & appreciated

 Switching the poles was less successful with the networks (except EV4GH)



## Country programmes / institutional collaboration (1)

- Long-term engagement with ITM was important for capacity strengthening & distinguishes ITM
- Respectful and exceptional partner
- Service capacity strengthening
  - Mostly implemented as planned
  - Core lab services => diagnostics & care & control & guidelines & research



## Country programmes / institutional collaboration (2)

- **Research capacity** => 450 peer-reviewed publications
  - PhDs => strengthening national health systems & PH teaching
  - Joint research proposals & access to other international research funds
  - Increased & recognised institutional status
  - Research ethics
  - Added value for national disease programmes?
  - Policy influence? (next slide)
- Institutional training capacity = important pillar for StP
  - Requires long-term support
  - E-learning



## Country programmes / institutional collaboration (3)

## "Policy influence"

- Many examples provided by interviewees but poorly documented and reported (LF & indicators)
- Research and project proposals silent on <u>how evidence would be</u> translated into policy or practice
- Dissemination more targeted at scientists / technical specialists
- However: personal initiatives to work with decision makers & close relationships between SP and national disease programmes
- Missed opportunity?



## Country programmes / institutional collaboration (4)

- Institutional strengthening (IS) & institutional collaboration (IC)
  - Several SP institutions do not require institutional strengthening
    - Win-win relationship
    - Learning in both directions
  - IS is more complex and requires different thinking
    - Structure collaboration around institutions
    - Strengthen management is not ITM's core business (in/outsource?)
  - Need for developing clear strategies and approaches for IS & IC



## Country programmes / institutional collaboration (5)

## South-south or rather triangular cooperation

- Mainly via networks (see further)
- Also via some IC projects, personal initiatives, under umbrella of a regional or global multilateral partner
- Two IC projects with triangular set-up => unsuccessful
- Some south-south technical support replaced north-south support
- Learn from positive and negative experiences?



## Country programmes / institutional collaboration (6)

- Fully aligned with institutional priorities of south partner
- South partners fully involved in formulation and implementation
- Monitoring & reporting:
  - Still more owned by ITM
  - More output than outcome based
  - Indicators (not SMART) measuring activities / outputs
  - Not capturing progress in capacity strengthening / improvement in practices, programs, policies (translation of evidence into policy and practice)
  - Logframes mostly gender blind
  - Quantitative output tables = biased toward scientific interests & gender blind
  - But improved under FA4



## Country programmes / institutional collaboration (7)

 Long track record of efficiently managing collaboration projects: closely with SP; understanding local context; problem-solving; creative & supportive

## • Sustainability:

- Quality of partnership contributes to changing or reinforcing institutional values, which helped assuring project results
- Sustainability planning lacking
- Insecurity of future funding challenges sustainability planning



## Recommendations IC (1)

## ITM & South partners

- Consider reviewing the approach and modality for project monitoring and learning
- Consider giving more space to translation of evidence in policy and practice (outcome indicator & mandatory requirement)
- Consider supporting south partners in the coordination of funding partners and the development of institutional procedures to be agreed to by all funding partners



## Recommendations IC (2)

#### • **ITM**:

- Consider promoting collaboration between a south partner and several ITM departments
- Continue promoting south-south collaboration & analyse lessons learnt
- Consider promoting e-learning with south partner training institutions and within ITM
- Consider developing a guideline for IC & IS
- Negotiate with DGD appropriate timeframes for continuing institutional strengthening with selected south partners



## Recommendations IC (3)

#### • DGD:

- Consider accepting longer-term timeframes for funding institutional strengthening projects on the basis of evidenceinformed plans for reaching common goals prepared by ITM and its partner institution.
- Consider accepting a budget line for institutional management capacity strengthening in selected projects where this would apply (and allow for sub-contracting)



## Global programme: Added value

#### To other networks

- Existing relationship with ITM encouraged engagement
- Flexibility to adapt and contribute to direction of network
- Strong focus on sharing of experiences and knowledge

#### To institutional collaboration

- Collaboration with and learning from others
- Focus on specific topic
- Create visibility and academic recognition
- Connect and discuss with wider group (IHPF network)
- Link operational issues at national level with international research agenda (HS network)

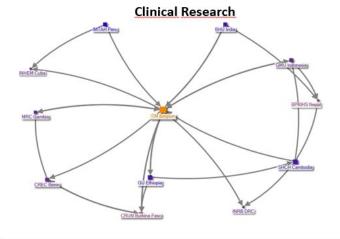


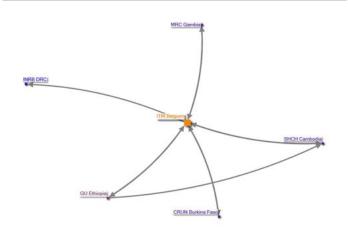
## Global programme: Achievements

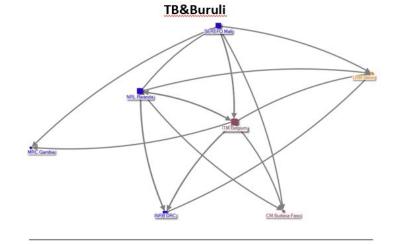
- Capacity strengthening was main outcome:
  - Individual research and service delivery: training courses, master degrees and PHDs
  - Other skills such as digital technologies and facilitation
  - Institutional capacity through cascading of courses and being recognised for research skills at national level
- Dissemination of results
  - National and international events
  - Websites and online platforms (IHP newsletter, CoP, ADMIT)
  - 61 peer reviewed articles
- Some examples of impact on policies but not directly related to dissemination activities

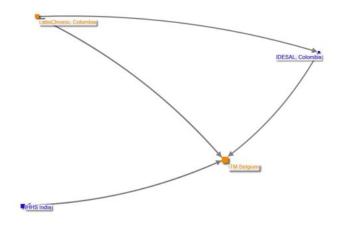


## Social Network Analysis (1)









Laboratory Quality Management

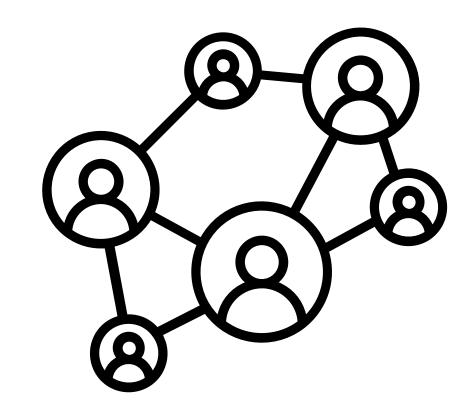
**Health Systems** 



## Social Network Analysis (2)

## South-south collaboration more active when:

- Collaboration was an explicit outcome of network (reported in logframe)
- Governance structure including south participants
- Presential annual meetings to learn from each other
- Virtual platforms and communication in between meetings
- Motivation of network members
- Availability of sufficient funding for network activiteis





## Global programme: **efficiency and sustainability**

#### **Efficiency**

- Resources spent in a timely manner
- Resources used for consumables and travel
- 50+% of budget allocated to ITM
- Limited resources for southern participants
- DGD funding considered 'seed funding' to attract additional funding

#### **Continuation:**

- 1 network and several network components
- Informal communication

#### **Factors influencing continuation**

- Relevance for ITM and south partners
- Use of digital tools
- Availability of funding
- Synergies with other networks



#### Visible results

- Increased individual and institutional capacity
- Participation in other initiatives or funding from other organisations
- Continued collaboration among some former network members
- Changes in behaviour and norms but not in values

## **Switching the poles**

- Some recommendations of 2010 MTR implemented
- EV4GH good example
- Valid attempts to switch the poles but not achieved across the board
- Requires south co-promotor with time and resources



#### **ITM & South partners**

- Identify topics which could benefit from networking, with institutions able to contribute time and resources
- Identify southern co-promotor
- Ensure south-south collaboration is aim of network and progress is monitored
- Mainstream gender in functioning, operations and deliverables
- Consider including networking activities in IC

#### **DGD**

- Consider accepting networking activities as part of IC projects
- Consider accepting southsouth networks as separate budget line



## Gender mainstreaming in institutional collaboration

Gender has not been addressed in ITM's institutional policy plans (2011 – 2015; 2016 – 2020; 2020 – 2024)

Capacity of partners for GM has not been analysed and GM not a requirement (proposals)

Few capacity strengthening initiatives on gender

Gender equal representation in capacity building was not pursued by all partners

Gender blind output reporting

Deloitte Assessment

#### **Opportunities**

Drafting of gender and diversity policy plan (ongoing)

Strong institutional focus on equity

Signature of the gender charter (2018)



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## Gender mainstreaming in programs

1 GM during design, implementation and reporting was inconsistent and patchy

2 Some partners described gender responsive approaches in their programmes

Some networks were successful in boosting equal representation without – however – reaching equal participation

Gender blind output and outcome reporting make it impossible to monitor GM at programme level

No guidelines on ITM's expectations on GM



### Recommendations



#### **Future programming**

- Further develop the institutional level actions in the draft gender and diversity policy plan
- Add requirements/ guidance for GM at programme level to the draft gender and diversity policy plan
- Learn from and dialogue with partners on GEWE and develop a systematic approach to GM in research